lease type a p	olus sign (+)	inside this box	(>	+
----------------	---------------	-----------------	--------------	---

PTO/SB/05 (4/98)
Please type a plus sign (+) inside this box + + Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

UTILITY PATENT APPLICATION **TRANSMITTAL**

Attorney Docket No. 38-21(15757) First Inventor or Application Identifier Christopher G Taylor

See 1 in Addendum

(Only for new nonprovisional applications under 37 C.F.R. § 1.53(b)) Express Mail Label No. EL302202043US

	PPLICATION ELEMENTS upter 600 concerning utility patent application contents.	Assistant Commissioner for Patents ADDRESS TO: Box Patent Application Washington, DC 20231
1. X * Fe (Sun (Sun (Sun (Sun (Sun (Sun (Sun (Sun	per 600 concerning utility patent application contents. Transmittal Form (e.g., PTO/SB/17) bmit an original and a duplicate for fee processing) ecification [Total Pages] 17] ecification [Total Pages] ecification [Total Pages] 18] escriptive title of the Invention ross References to Related Applications tatement Regarding Fed sponsored R & D eference to Microfiche Appendix ackground of the Invention rief Summary of the Invention rief Description of the Drawings (if filed) etailed Description laim(s) betract of the Disclosure ewing(s) (35 U.S.C. 113) [Total Sheets] Newly executed (original or copy) Copy from a prior application (37 C.F.R. § 1.63 (for continuation/divisional with Box 16 completed) i. DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application see 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). ITEMS 1 & 13 IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY LL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27), EXCEPT	5. Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary) a. Computer Readable Copy b. Paper Copy (identical to computer copy) c. Statement verifying identity of above copies ACCOMPANYING APPLICATION PARTS 7. Assignment Papers (cover sheet & document(s)) 8. 37 C.F.R.§3.73(b) Statement Power of (when there is an assignee) 9. English Translation Document (if applicable) 10. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Statement (IDS)/PTO-1449 Citations 11. Preliminary Amendment 12. X Return Receipt Postcard (MPEP 503) (Should be specifically itemized) 13. Statement(s) Statement filed in prior application Status still proper and desired (if foreign priority is claimed) 14. Certified Copy of Priority Document(s) (if foreign priority is claimed)
16 If a COI Prior app For CONTINU under Box 4b	NTINUING APPLICATION IS RELIED UPON (37 C.F.R. § 1.28). NTINUING APPLICATION, check appropriate box, and continuation Divisional Continuation-in-part (continuation information. NATION or DIVISIONAL APPS only: The entire disclosure is considered a part of the disclosure of the accompar	supply the requisite information below and in a preliminary amendment: (CIP) of prior application No: 60/098,402 Group / Art Unit. The of the prior application, from which an oath or declaration is supplied by the prior application or divisional application and is hereby incorporated by the has been inadvertently omitted from the submitted application parts.
	17. CORRESPOND	ENCE ADDRESS
☐ Custom	ner Number or Bar Code Labe! (Insert Customer No. or At	or 🖾 Correspondence address below tach bar code label here)
Name	Patent Department Central Monsanto/GD Searle	
Address	PO Box 5110	
City	Chicago State	IL Zip Code 60680-5110
Country	Telephone	(636) 737-7685 Fax (636) 737-6047
	Pnnt/Type) Thomas P McBride	Registration No. (Attorney/Agent) 32,706
Signature	· Thomas Mus	Date 31 A-1995

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.



Addendum

1. Novel Transgene Assay Using Stable Agrobacterium rhizogenes Transformation

APPLICATION FOR UNITED STATES LETTERS PATENT FOR

15

NOVEL TRANSGENE ASSAY USING STABLE Agrobacterium rhizogenes TRANSFORMATION

 \mathbf{BY}

CHRISTOPHER G TAYLOR YONG HUANG

20

Hard that the term that the Hard that

25

EXPRESS MAIL MAILING LABEL

NUMBER EL302202043US

DATE OF DEPOSIT 8/31/99

I hereby certify that this paper or fee is being deposited with the United States Postal Service "EXPRESS MAIL POST OFFICE TO ADDRESSEE" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Assistant Commissioner for Patents, Washington D.C. 20231.

Signature

This application claims priority from US provisional patent application number 60/098,402, filed 8/31/98, herein incorporated by reference in its entirety.

Field of the Invention

5

10

15

20

25

30

The present invention relates in general to a new method of screening genetic elements of interest for functionality, and more particularly to such a method utilizing *Agrobacterium rhizogenes* to transform plant tissue in a manner forming a chimeric plant expressing or containing the genetic element of interest in transgenic root tissue.

Background of the Invention

Agrobacterium rhizogenes is a soil bacteria that is known to infect wounded root tissue and that transfers a portion of its bacterial plasmid, the Ri plasmid, to the plant. The Ri-T-DNA that is transferred to the plant induces the formation of adventitious roots and these genetically transformed roots can be regenerated into whole plants that transmit the Ri T-DNA to their progeny. Agrobacterium rhizogenes has, therefore, been used to generate stably transformed whole plants. In one application of this technology, secondary metabolites can be produced from culture using this method.

With the advent of genomics-based discovery of genes and genetic elements, new methods are needed to facilitate the rapid screening of the large numbers of genes (or genetic elements) that are becoming available. Typically, genes of interest are cloned and then stably transformed using *Agrobacterium tumefaciens* mediated delivery or by a particle gun method into plants for functional analysis of the gene or genetic element. This method can take up to 9 months for transgenic plants, such as soybean, to be transformed and ready for testing. This is a slow and inefficient process. Therefore, there

transformed and ready for testing. This is a slow and inefficient process. Therefore, there is a need for a rapid method of screening large numbers of genes and gene constructs *in* planta for functionality.

Summary of the Invention

The present invention relates to a rapid, *in planta* method for screening a genetic element for functional activity. It has been discovered that by utilizing *Agrobacterium* rhizogenes to transform plant tissue in a manner producing a chimeric plant having only

15

20

25

transgenic root tissue, with the remainder of the plant being non-transgenic, transgenic tissue containing a selected genetic element can be available for testing without having to produce stably transformed whole plants. The method greatly reduces the time required to screen large numbers of genetic elements and permits functional testing in about 2 to 3 months from the start of the transformation process.

Therefore, in one preferred embodiment, the present invention provides a method for producing a stable chimeric plant having transgenic root tissue that comprises obtaining an explant, inoculating the explant with *Agrobacterium rhizogenes* containing an exogenous genetic element capable of being transferred to the explant, culturing the inoculated explant in a manner permitting transgenic root development, and producing a stable chimeric plant with transgenic root tissue. This transgenic root tissue is available for testing of the functionality of the genetic element introduced therein by standard methodology relevant to the genetic element being tested.

Among the many aims and objectives of the present invention include the provision of a method providing for an *in planta* assay for testing genes for anti-pathogen or anti-insect activity; testing genes for enzymatic or metabolic activity; high-throughput gene trapping, promoter trapping, and enhancer trapping; optimizing constructs for gene expression and protein production; testing constructs for gene expression before submission for production of transgenic plants; and production of large amounts of protein. Moreover, the present method provides a method of producing chimeric plants in soil, not in tissue culture, thereby greatly reducing the possibility of contamination and avoiding the disadvantages associated with regenerating transgenic plants through tissue culture methods.

Also provided are chimeric soybean plants produced by the method described herein.

30 Brief Description of the Drawings

Figure 1 is a representation of the plasmid map for pMON31873.

Figure 2 is a representation of the plasmid map for pMON31892.

Figure 3 is a representation of the plasmid map for pMON31896.

15

20

25

30

5 Detailed Description of the Invention

In order to provide a clear and consistent understanding of the specification and the claims, including the scope given to such terms, the following definitions are provided.

A "chimeric plant" is a plant with only a portion of its cells transgenic. In the following examples the chimeric plants are defined as having transgenic roots but wild-type shoots, stems, and leaves.

A "genetic element of interest" can be a promoter, an intron, a structural gene, a fragment of a gene, a 3' terminator, an enhancer, or any other genetic element that might affect gene expression, gene functionality, or a combination thereof.

"Expression" means the combination of intracellular processes, including transcription and translation, undergone by a coding DNA molecule such as a structural gene to produce a polypeptide.

"Promoter" means a recognition site on a DNA sequence or group of DNA sequences that provides an expression control element for a structural gene and to which RNA polymerase specifically bind and initiates RNA synthesis (transcription) of that gene.

"Regeneration" means the process of growing a plant from a plant cell (e.g., plant protoplast or explant).

"Structural gene" means a gene that is expressed to produce a polypeptide.

"Structural coding sequence" refers to a DNA sequence that encodes a peptide, polypeptide, or protein that is made by a cell following transcription of the structural coding sequence to messenger RNA (mRNA), followed by translation of the mRNA to the desired peptide, polypeptide, or protein product.

"Transformation" refers to a process of introducing an exogenous DNA sequence (e.g., a vector, a recombinant DNA molecule) into a cell or protoplast in which that exogenous DNA is incorporated into a chromosome or is capable of autonomous replication.

10

15

20

25

30

"Vector" means a DNA molecule capable of replication in a host cell or to which another DNA segment can be operatively linked so as to bring about replication of the attached segment. A plasmid is an exemplary vector.

"Exogenous" as used herein means any genetic element that is not naturally occurring in a wild-type *Agrobacterium rhizogenes* organism

According to the present invention, there is provided a method for the rapid *in planta* testing of an exogenous genetic element in a chimeric plant. The plant is produced by transformation with *Agrobacterium rhizogenes*. This process requires the use of a wild-type *Agrobacterium rhizogenes* strain that transfers genes that encode for production of plant growth regulators that stimulate hairy root formation to the infected plant tissue during the transformation process. Numerous strains of *Agrobacterium rhizogenes* are known, and any strain that efficiently transforms the plant of interest may be used. It is understood, however, that some strains are more virulent than others and certain strains may not be used with all plant species because of the level of virulence. Thus, the plant species being transformed and the strain of *Agrobacterium rhizogenes* being used should be compatible. Most preferably, the highly virulent strain K599 is used with plant species such as soybean and potato, but a less virulent strain may be needed for tomato.

In addition to the wild-type *Agrobacterium rhizogenes* strain that is to be used for the transformation, a construct containing the genetic element to be tested for functionality *in planta* is added to the *Agrobacterium rhizogenes* in the form of a binary plasmid, a piece of circular DNA. The plasmid may take many forms known in the art, but typically requires an origin of replication that allows for stable plasmid retention in *Agrobacterium rhizogenes*; a suitable selectable marker resistance gene that allows for selection of the plasmid in *Agrobacterium rhizogenes*; two DNA border sequences that determine the beginning and end points of the DNA that is to be transferred to the plant cell; and a construct containing the genetic element to be tested that is flanked by the before mentioned DNA border sequence. The construct containing the genetic element of interest will typically include in linear sequence a promoter, promoter elements, a structural gene, and a 3' terminator, and the genetic element being tested may be any one of these elements.

10

15

20

25

30

Suitable selectable marker genes include, but are not limited to, antibiotic resistance markers such as the neomycin phosphotransferase gene, which confers resistance to kanamycin. Other preferred selectable markers are genes that confer tolerance to the glyphosate herbicide as described in U.S. Patent Nos. 5,463,175 and 5,633,435, herein incorporated by reference.

If the genetic element being tested is a promoter sequence, the construct will require a reporter gene. Suitable reporter genes include, but are not limited to genes encoding for green fluorescent protein (GFP), β -glucuronidase (GUS), chloramphenicol acetyl transferase (CAT), and luciferase.

If the gene element of interest is a structural gene, the construct will require the elements needed for expression of the structural gene in a plant, including a promoter sequence and a 3' non-translated termination/polyadenylation site and, optionally, an intron. Suitable promoters include constitutive or root-specific promoters, such as, but not limited to, enhanced 35S promoter from cauliflower mosaic virus (e35S CaMV), figwort mosaic virus promoter (FMV), the sugarcane badnavirus promoter, the actin promoter from rice, the ubiquitin promoter from maize, the nos promoter, the RB7 promoter, and the 4AS1 promoter. Any suitable 3' non-translated regions may be included in the vector containing the genetic element to be tested, including but not limited to the 3' region from the *Agrobacterium* tumor inducing (Ti) plasmid gene, such as the nopaline synthase gene (nos), and plant genes such as the soybean 7s storage protein gene and pea ssRUBISCO E9 gene. Suitable introns are known in the art and may include the intron from the rice actin gene or an intron from a wheat heat shock protein.

Methods for constructing the vectors as described herein and means for introducing such vectors into *Agrobacterium rhizogenes* are described in the relevant literature, such as Sambrook et al. (*Molecular Cloning: A Laboratory Manual*, Second Edition, Cold Spring Harbor Laboratory Press, Cold Spring Harbor, NY, 1989) or Ausubel et al. (*Current Protocols in Molecular Biology*, John Wiley and Sons, New York, NY, 1995).

10

15

20

25

30

A structural gene being tested in the method of this invention may be any structural gene that might confer a beneficial trait to a plant, including but not limited to agronomic traits such as herbicide tolerance, yield improvements, insect or pathogen resistance, or quality traits such as enhanced or improved nutritional value, or other proteins, enzymes or other biological product that may be produced in a plant.

Once the vector containing the genetic element to be tested is introduced into the *Agrobacterium rhizogenes*, a suitable explant from the plant to be transformed is selected. The explant is derived from the plant of choice such that after inoculation with the vector containing *Agrobacterium rhizogenes*, the explant is capable of generating transgenic roots and maintaining a normal, non-transgenic stem, leaves and other plant structures. Preferably, the explant is a stem, hypocotyl or other like structure. Most preferably, the explant is a hypocotyl obtained by removing the roots from a growing cotyledon by cutting the hypocotyl about 2-3 cm below the cotyledonary leaves. It is also preferable to remove the plant tissue above the cotyledonary leaves as well.

The explant is inoculated by contacting a cut or wounded portion of the explant with a solution containing the *Agrobacterium rhizogenes* for a period of time suitable to permit transfer of the DNA to the explant. This typically occurs when the filter is dry. When the filter is air dried, it can take up to a week, but other methods of drying may also be used that would take less time. The *Agrobacterium rhizogenes* may be contacted by dipping the cut explant into the solution or vacuum infiltration methods may be used. The bacterial solution may also be injected into the explant by methods known in the art.

Chimeric plants are produced from transgenic roots after transformation with *Agrobacterium rhizogenes*. Root growth can be initiated by placing the inoculated end of the plant into liquid or solid media containing minimal salts media (i.e., 1/4 strength Murashige and Skoog Salt Mixture(MS) [GibcoBRL, Cat. No 11117-074]). Hairy root formation can be observed between two and three weeks after transformation with *Agrobacterium rhizogenes*. Once roots begin to grow, the entire plant may be planted in soil or grown hydroponically. Generally, between 40 and 90% of the hairy roots generated will be transformed with the gene element of interest. All transgenic root growth is supported by the resources produced in the wild type shoots, stems, and leaves.

25

30

10

15

This method relies on the cotyledons or excised shoots to provide the necessary resources for hairy root production, thus eliminating the need for sugars or other carbon sources that would allow for easy contamination of the media. Production of hairy roots can be done in a non-sterile field or lab bench thus eliminating the need for sterile hoods and sterile lab equipment.

Once the transgenic roots are established, the genetic element introduced into the plant may be analyzed using any of the methods familiar to those of skill in the art and appropriate for determining the functionality of the genetic element, including, but not limited to, immunochemical blots, Northern blots, Southern blots, extractions, plant pathogen assays, nodulation assays, enzyme assays, targeting assays, gene silencing assays, recombination assays, gene excision, functional genomics assay, PCR, and the like.

Examples

The following examples further illustrate the present invention. They are in no way to be construed as a limitation in scope and meaning of the claims.

Example 1—Transformation of Soybeans

Seed Sterilization

Petri dishes are filled with soybean seed and placed in a vacuum desiccator. A beaker containing 200 mL of bleach and 2 mL of concentrated HCl is placed in the middle of a desiccator covered and vacuum applied. The vacuum is closed, and the seeds are allowed to sit for 16 to 24 hrs.

Germinate Seeds

Pots are filled with silica sand and the sterilized soybean seed is planted. The seed is germinated in a greenhouse for 7 days or until first leaf expands. It is preferable that the soybean seeds are grown in the greenhouse as this seems to improve the stability of the growing hypocotyl. First leaves are removed by cutting stem above cotyledons. The seedlings are transferred to a cold room at 4-6°C (can be stored for up to seven days). Inoculation

10

15

25

30

Agrobacterium rhizogenes strain K599 containing the genetic element to be tested is grown in LB media plus a plasmid selectable antibiotic in a 30°C shaker overnight. The cells are spun down by centrifugation (4,000 x g, 10 min.) and resuspended in Agro resuspension solution (1/10 strength B5 media plus 200 μ M acetosyringone, 1 mM galacturonic acid, and 20 mM MES (pH5.4) to final OD_{600nm} =0.3). SORBAROD filters (Ilacon Limited, type 7006, or Sigma, S6404, St. Louis, MO) that have been placed into a petri plate or microtiter plate are saturated with Agro resuspension solution. Remaining area of well or plate is filled with Agro resuspension solution (minus the Agro). Soybean hypocotyls are cut about 2-3 cm below cotyledons and cut end of

Remaining area of well or plate is filled with *Agro* resuspension solution (minus the *Agro*). Soybean hypocotyls are cut about 2-3 cm below cotyledons and cut end of hypocotyls is placed into filters and vacuum infiltrated for 5 minutes. The hypocotyls are placed in a growth chamber at 22°C, 18 hr. light/6hr. dark photo-period and the filters are permitted to dry until all of the *Agro* resuspension solution has evaporated and the filters have completely dried.

Root Initiation

There are two options (chambers or plates) for root initiation.

20 Chambers (1)

Find empty pipette tip boxes and remove lids. Sterilize in autoclave. Cover top with thin sheet of aluminum foil. Punch number of holes as needed. Fill chamber with 1/4 strength MS solution (pH 5.4) (optional is the addition of low levels of selectable agents, i.e., kanamycin 50 mg/L). Hypocotyls are removed from filters and placed in holes. Keep chambers in Percival at 22°C, 18 hr. light 6hr. dark photo-period. *Plates* (2)

Prepare 1/4 strength MS (pH 5.4) plus 0.7% phytagel solution. Autoclave. Cool and pour into wide petri plates (optional is the addition of low levels of selectable agents, i.e., kanamycin 50 mg/L). Remove hypocotyls from filters and place in phytagel. Keep plates in growth chamber at 22°C, 18 hr. light/6hr. dark photo-period.

Soybean Plantlet Culture

Remove any adventitious roots that may appear (these are roots above cut site) until week three. If a large number of cotyledons appear to turn yellow, spray with fungicide by misting over top. Monitor water level and replace as needed with 1/4

15

20

25

30

5 strength MS (pH 5.4). This can be added to the plates also. Do not let inoculated ends dry out. After three weeks roots should begin to grow from inoculated ends. Hairy Roots

The number of transgenic hairy roots that form will be dependent on the cultivar. PI accessions tend to produce more hairy roots than cultivated varieties. On average between 5 and 10 independent transgenic roots can be produced per hypocotyl. Generally, between 40 and 70% of the hairy roots generated will be transformed with the genetic element introduced. In initiating roots in presence of low levels of selectable agents (kanamycin 50 mg/L) up to 90% of generated hairy roots will be transformed with the introduced genetic element. When transgenes are linked to reporter genes (i.e., GFP), transgenic roots can be selected based on expression of reporter genes.

Production of Chimeric Soybean Plants

Large amounts of hairy roots can be produced by planting the chimeric plants in soil or grown hydroponically. The plant provides most of the energy needed for hairy root growth. Only minimal salts are needed. Hairy root plants tend to be dwarf with early induced seed production.

The example below represents a study done on expression of an anti-fungal protein (AFP) from alfalfa (Alf). Two binary plasmids were constructed from pMON31873 (Figure 1) using the Figwort Mosaic Virus (FMV) constitutive promoter, to drive expression of a cytoplasmically (pMON31892; Figure 2) or extracellularly (pMON31896; Figure 3) targeted Alf-AFP. Each construct was additionally linked to an enhanced 35S promoter driving expression of the green fluorescence protein (GFP) and was used to produce transgenic hairy-roots as described above. Five weeks after transformation, transgenic roots were individually harvested, analyzed for GFP expression by observing green fluorescence under a UV light and frozen. Protein was extracted from root-tissues and used in a standardized ELISA assay using an antibody made specifically against Alf-AFP to determine the amount of Alf-AFP present. Table 1 shows results of the study.

15

5 Table 1. Expression of Alf-AFP and GFP in hairy roots of soybeans.

Sample	PPM	GFP
	Alf-AFP	yes/no
Vector Control		
1	0.009	yes
Extracellular Alf-AFP		
1	0.069	yes
2	0.154	yes
3	0.021	yes
4	0.003	no
5	0.001	no
6	0.019	no
Cytoplasmic Alf-AFP		
1	0.01	no
2	0.009	no
3	0.01	no
4	0.01	no
5	0.01	yes
6	0.01	yes
7	0.011	no
8	0.011	yes
9	0.011	yes
10	0.012	yes
11	0.01	no

The results from this experiment indicated that the extracellulary targeted Alf-AFP binary construct was capable of producing Alf-AFP in transgenic roots. A strong correlation between GFP positive roots and those that expressed Alf-AFP was observed. However, the cytoplasmically targeted version of Alf-AFP did not accumulate Alf-AFP. Because this construct was never tested, the expected result was uncertain. This example demonstrates how rapidly constructs can be screened for gene expression. Thus, one can quickly and cheaply screen for a genetic element of interest using this method of generating transgenic hairy roots.

15

20

25

5 Example 2—Transformation of Potato

For generation of hairy roots on potato the same solutions are used as described in Example 1. For plant material, potatoes that contain numerous branches are preferred. Potatoes do not need to be chilled prior to inoculation. Cut potato branches at nodes and place in *Agro* resuspension solution (1/10 strength B5 media plus 200 μM acetosyringone, 1 mM galacturonic acid, and 20 mM MES (pH5.4) to final OD_{600nm} =0.3). Vacuum infiltrate and place in growth chamber at 22°C, 18 hr. light/6hr. dark photo-period.

Production of Chimeric Potato Plants

Potatoes produce hairy roots much more rapidly than soybean. Roots will begin to appear within two weeks. Adventitious roots generally do not appear. If they do, simply remove them with a scalpel. Co-transformation of hairy roots with a genetic element is between 70 and 90%. Up to 25 independent hairy roots may form per stem.

The example below represents a study done on expression of an anti-fungal protein (AFP) from alfalfa (Alf). Two binary plasmids were constructed from pMON31873 (Figure 1) using the Figwort Mosaic Virus (FMV) constitutive promoter, to drive expression of a cytoplasmically (pMON31892; Figure 2) or extracellularly (pMON31896; Figure 3) targeted Alf-AFP. Each construct was additionally linked to an enhanced 35S promoter driving expression of the green fluorescence protein (GFP) and was used to produce transgenic hairy-roots. Five weeks after transformation, transgenic roots were individually harvested, analyzed for GFP expression by observing green fluorescence under a UV light and frozen. Protein was extracted from root-tissues and used in a standardized ELISA assay using an antibody made specifically against Alf-AFP to determine the amount of Alf-AFP present. Table 2 shows results of the study.

15

5 Table 2. Expression of Alf-AFP and GFP in hairy roots of potato.

Sample	PPM	GFP
•	Alf-AFP	yes/no
Vector Control		
1	0.024	yes
2	0.017	yes
	0.011	y cc
Extracellular Alf-AFP		
1	0.226	yes
2	0.02	no
3	0.023	yes
4	0.074	yes
5	0.024	yes
6	0.057	yes
7	0.016	no
8	0.044	yes
Cytoplasmic Alf-AFP		
1	0.022	yes
2	0.019	yes
3	0.017	no
4	0.015	yes
5	0.012	yes
6	0.011	yes
7	0.007	yes
8	0.004	yes

The results from this experiment indicated that the extracellulary targeted Alf-AFP binary construct was capable of producing Alf-AFP in transgenic roots. A strong correlation between GFP positive roots and those that expressed Alf-AFP was observed. However, the cytoplasmically targeted version of Alf-AFP did not accumulate Alf-AFP. Becaues this construct was never tested, the expected result was uncertain. This example demonstrates how rapidly constructs can be screened for gene expression. Thus, one can quickly and cheaply screen for a genetic element using this method of generating transgenic hairy roots.

All publications and patent applications mentioned in this specification are indicative of the level of skill of those skilled in the art to which this invention pertains. All publications and patent applications are herein incorporated by reference to the same

5 extent as if each individual publication or patent application was specifically and individually indicated to be incorporated by reference.

Although the invention has been described in detail for the purpose of illustration, it is understood that such detail is solely for that purpose, and variations can be made therein by those skilled in the art without departing from the spirit and scope of the invention which is defined by the following claims.

Claims

What is claimed is:

- 1. A method for producing a stable chimeric plant having transgenic root tissue, the method comprising the steps of:
- obtaining an explant;
 - inoculating the explant with Agrobacterium rhizogenes containing an exogenous genetic element capable of being transferred to the explant;
 - culturing the inoculated explant in a manner permitting transgenic root development; and
- producing a stable chimeric plant with transgenic root tissue.
 - 2. The method of claim 1 wherein the explant is stem or hypocotyl tissue.
 - 3. The method of claim 1 wherein the explant is a hypocotyl providing a cut end below the cotyledon.
 - 4. The method of claim 3 wherein the cut end of the hypocotyl is contacted with the *Agrobacterium rhizogenes*.
 - 5. The method of claim 4 wherein the Agrobacterium rhizogenes is strain K599.
 - 6. The method of claim 1 wherein the explant is obtained from a dicotyledonous plant.
 - 7. The method of claim 6 wherein the plant is soybean, potato, or tomato.
- 8. The method of claim 4 wherein transgenic root development is initiated in the inoculated hypocotyl by placing the inoculated hypocotyl region in a media containing \(\frac{1}{4} \) MS.
 - 9. The method of claim 8 wherein the media further comprises a selectable agent.
 - 10. The method of claim 9 wherein the selectable agent is kanamycin.
- 11. The method of claim 10 wherein the concentration of kanamycin in the media is no30 more than about 50 mg/L.
 - 12. A method for testing a genetic element for functionality in a plant, comprising the steps of:

obtaining an explant;

inoculating the explant with Agrobacterium rhizogenes containing an exogenous

15

- genetic element capable of being transferred to the explant;
 culturing the inoculated explant in a manner permitting transgenic root development;
 producing a stable chimeric plant with transgenic root tissue;
 analyzing the transgenic root tissue for the exogenous genetic element.
 - 13. The method of claim 12 wherein the exogenous genetic element is a gene that confers resistance to plant pathogens.
 - 14. The method of claim 12 wherein the exogenous genetic element is a gene that confers an agronomic trait to the plant.
 - 15. The method of claim 12 wherein the exogenous genetic element is a gene that is involved in the enzymatic or metabolic activity of the plant.
- 15 16. The method of claim 12 wherein the exogenous genetic element is a promoter sequence.
 - 17. The method of claim 12 wherein the explant is selected from the group consisting of stem, hypocotyl or root tissue.
 - 18. The method of claim 12 wherein the explant is a hypocotyl providing a cut end below the cotyledon.
 - 19. The method of claim 18 wherein the cut end of the hypocotyl is contacted with the *Agrobacterium rhizogenes*.
 - 20. The method of claim 19 wherein the Agrobacterium rhizogenes is strain K599.
 - 21. The method of claim 12 wherein the explant is obtained from a dicotyledonous plant.
- 25 22. The method of claim 21wherein the plant is soybean, potato, or tomato.
 - 23. The method of claim 19 wherein transgenic root development is initiated in the inoculated hypocotyl by placing the inoculated hypocotyl region in a media containing ¹/₄ MS.
 - 24. The method of claim 23 wherein the media further comprises a selectable agent.
- 30 25. The method of claim 24 wherein the selectable agent is kanamycin.
 - 26. The method of claim 25 wherein the concentration of kanamycin in the media is no more than about 50 mg/L.

Abstract of the Disclosure

A novel method is described for the screening of gene elements of interest using hairy roots of chimeric plants transformed with *Agrobacterium rhizogenes*.

Figure 1

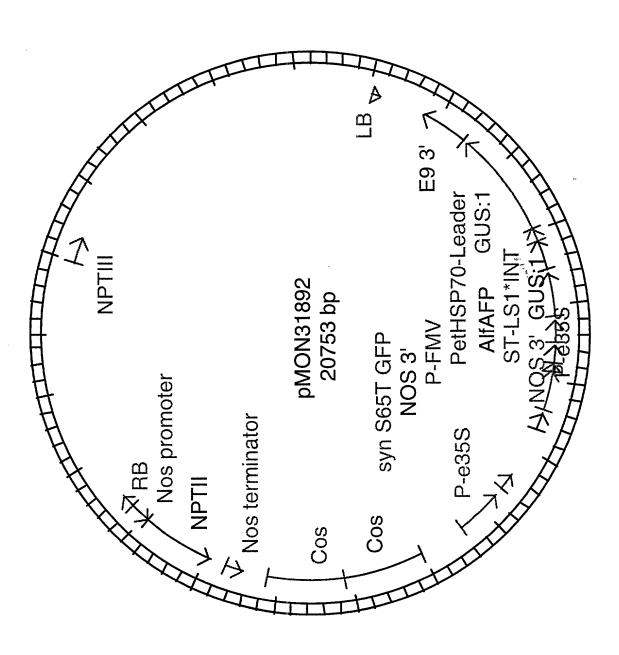


Figure 2

 $eq \mathcal{T}$ Nos terminator

I√ NPTII

syn S65T GFP

Cos

Cos

P-e35S

Nos promoter

Figure 3

£
į,
Li
D
(M
Ţ
<u> </u>
H
=
Ľ
<u>L</u> i

X Declaration

Filing

Please type a plus sign (+) inside this box	+	A
---	---	---

required)

PTO/SB/01 (12-97)

Approved for use through 9/30/00. OMB 0651-0032
Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

a valid Olvid Control Hamber.						
	Attorney Docket Number	38-21(15757)				
DECLARATION FOR UTILITY OR	First Named Inventor	Taylor, Christopher G				
DESIGN PATENT APPLICATION	COMPLETE IF KNOWN					
(37 CFR 1.63)	Application Number					
	Filing Date					
	Group Art Unit					
	Examiner Name					

As a below named invent	or, I hereby declare that:								
My residence, post office a	My residence, post office address, and citizenship are as stated below next to my name.								
I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled. Novel Transgene Assay Using Stable Agrobacterium rhizogenes Transformation									
the specification of which Is attached hereto	(Title	e of the Invention)							
OR was filed on (MM/D	D/YYY)	as United	l States Applicati	on Number or PC	T International				
Application Number and was amended on (MM/DD/YYYY) (if applicable). I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment specifically referred to above. I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR 1.56.									
I hereby claim foreign priority benefits under 35 U.S.C 119(a)-(d) or 356(b) of any foreign application(s) for patent or inventor's certificate, or 356(a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or of any PCT international application having a filing date before that of the application on which priority is claimed.									
Prior Foreign Application	Country	Foreign Filing Date (MM/DD/YYYY)	Priority Not Claimed	Certified Cop	py Attached? NO				
, чиньен (9)	Number(s) Country (MM/DD/YYYY) Not Glaimed YES NO								
		L	about DTO/SB/0	2R attached horot	ю:				
Additional foreign application	ation numbers are listed on a under 35 U S.C. 119(e) of an	v United States provisional	application(s) list	ed below.					
Application Number		te (MM/DD/YYYY)		·					
60/098,402	08/31/1998		numbe supple	onal provisional ers are listed on emental priority SB/02B attached	a data sheet				
1	l								

[Page 1 of 2]

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231 DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

a valid OMB control number.

Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains

DECLARATION — Utility or Design Patent Application

I hereby claim the benefit under 35 U.S.C. 120 of any United States application(s), or 365(c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. 112. I acknowledge the duty to disclose

information whi	ch ie mati	ernational applica erial to patentabi nternational filing	lity as c	detined ir	13/ CF	ded by the f R 1.56 whic	irst pai h beca	iragra ame a	pn o availa	able betwe	en the	iiiig dat	C OI tilo pilor o	
U.S	S. Parei	nt Applicatio Numbe		PCT Pa	rent					g Date (YY)			it Patent Ni If applicabl	
Additional	III S. or F	PCT international		ation num	nhers ar	e listed on					ta shee	PTO/S	B/02C attache	d hereto.
As a named inv	entor, I he	ereby appoint the	followi	ng regist	ered pra	ctioner(s) to	o prose	ecute	this a	application	and to t	ransact	all business in t	he Patent
and Trademark	Office co	nnected therewitl		Custome OR Registere		tioner(s) na	me/reg	gistrat	tion r	number list	ed belov	<u>, </u>	Number Bar (Label her	Code e
	Name	1		ı	Registra Numb					Nam	е			ration nber
Thomas P				32,70			į			R Hoe		r	30,914	
Lawrence	M La	vin Jr		30,76	8		R	lich	ard	H She	ear		26,583	
Alan E Do	ow			35,12	23									
Additional	registered	d practitioner(s) n	amed o	n suppler	mental R	legistered F	ractitio	oner l	nforr	mation she	et PTO/	SB/02C	attached heret	ю
Direct all corr	esponde			ner Num Code La						OR	X c	orrespo	ndence addr	ess below
Name	Thom	as P McBr	ide						-					
Address		Department Conto/GD Searle	entral											
Address							Sta		IL		ZIP	6068	30-5110	
City	Chica	igo		Tel	enhone	636-7						636-737-6047		
believed to be punishable by	e true, and fine or ir	Il statements ma d further that the mprisonment, or t issued thereon.	de here se stat both, u	ein of my	own kr	nowledge a	re true	e and	that	all statem at willful fa false state	ents m	ade on i	nformation and	belief are made are
		irst Inventor					ПΑ	petit	ion	has been	filed fo	rthis u	nsigned inve	ntor
G	Siven Nan	ne (first and mide	ile [if	any])			Family Name or Surname							
Christoph	ner G						Taylor							
Inventor's													Date	
Signature					— <u> </u>		Γ		\neg					USA
Residence:	City	Ballwin			State	MO	Co	ountry					Citizenship	USA
Post Office A	Address	1368 Fore	st Sp	olendo	or Tra	il								
Post Office A	Address				,, .								1	
City		Ballwin	State	МО		ZIP	630	021			Co	untry		
Additiona	alinvento	ors are being n			1sup	plementa	al Add	itiona	al Inv	ventor(s)	sheet(s) PTO/	SB/02A attac	hed heret

DECLARATION

ADDITIONAL INVENTOR(S) Supplemental Sheet Page <u>1</u> of <u>1</u>

Name of Addition	nal Joint Inventor, if an	y:				A petitio	n has been file	d for tl	nis unsig	ned inv	entor
Given Nar	Given Name (first and middle [if any]) Family Name or Surname										
Yong Huang											
Inventor's Signature	Date										
Residence: City	Madison	Stat	te V	VI_		Country			Citizens	hip [JSA
Post Office Address	fice Address P. O. Box 44212										
Post Office Address											
City	Madison	Sta	te V	VI		ZIP 5	3744	Count	ry		
Name of Addition	nal Joint Inventor, if an	y:				A petitio	n has been file	d for t	his unsig	ned inv	entor
Given Na	me (first and middle [if any])					Family Nar	ne or	Surname		
Inventor's Signature									Da	ite	
Residence: City		Sta	te			Country			Citize	nship	
Post Office Address											
Post Office Address						_					
City		Sta	ate			ZIP		Cou	ntry		
Name of Addition	nal Joint Inventor, if an	ıy:				A petitio	on has been file	d for t	his unsig	ned inv	entor
Given Na	me (first and middle [if any])					Family Nar	me or	Sumame		
Inventor's Signature									Da	ite	
Residence: City	State Country Citizenship										
Post Office Address	^										
Post Office Address											
City		State	<u>, </u>			ZIP			Country		

Burden Hour Statement: This form is estimated to take 0.4 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

Hall the way had the